

ttorney's Docket No. 80398.P425

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re Patent Application of: |) |
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| Maritzen, et al. |) Examiner: Not yet assigned |
| Application No.: 09/929,960 |) Art Unit: 2131 |
| Filed: August 15, 2001 |) |
| For: Method and Apparatus for Preventing an Unauthorized Transaction |)) _) |
| Commissioner for Patents P.O. Box 1450 | |

Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search

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has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

| <u>X</u> | 37 C.F.R. §1.97(b). |
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| | 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following: |
| | _ A statement pursuant to 37 C.F.R. §1.97(e) or |
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| | (1) A statement pursuant to 37 C.F.R. §1.97(e); and |

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: DEL, 10, 2004

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| Substitute for Form 1449/PTO | | | | Complete if Known | | |
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| O I P EINFORMATION DISCLOSURE | | | Application Number | 80398.P425 | | |
| | | | | Filing Date | 8/15/01 | |
| DEC 1 6 2004 STATEMENT BY APPLICANT | | | | First Named Inventor: | Maritzen | |
| (use as many sheets as necessary) Sheet 1 of 2 | | | | Art Unit | 2131 | |
| Ell. | and the second | | | | Examiner Name | Not yet assigned |
| Sheet | 1 | - | of | 2 | Attorney Docket Number | 80398.P425 |
| | | | U.S. PATEN | T DOCUMENTS | | |
| Examiner Initials* | Cite No.1 | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ | | |
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| Examiner | Date Considered | |
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| Substitute for | or Form 14 | 449/PTO | | Comp | lete if Known | |
|---|-------------------------|-----------------------|--|---|---|----------------|
| STATEMENT BY APPLICANT, (use as many sheets as necessary) DEC 1 6 2004 G | | Application Number | 09/929,960 | | | |
| | | Filing Date | 8/15/01 | | | |
| | | First Named Inventor: | Maritzen | | | |
| | | Art Unit | 2131 | | | |
| | | Į. | 2 2004 65 | Examiner Name | Not yet assigned | |
| Sheet | 2 | of | Es de | Attorney Docket Number | 80398.P425 | |
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| Examiner Initials* | Cite No ¹ | item (book, | f the author (in CAPIT magazine, journal, se number(s), publ | AL LETTERS), title of the article rial, symposium, catalog, etc.), disher, city and/or country where mart", Sept. 21, 1999, pp.1-4. | ate, page(s), volume-issue published | T ² |
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